

FSC® - Forest Management Digital Audit Report (DAR) Supplement

Manulife Investment Management Forest Management NZ Ltd

SCS-FM/COC-00066P

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Certificate Holder Website	https://www.manulifeim.com/timberland-agriculture/global/en/property-management/timberland-operations/new-zealand#property-management

CERTIFIED	EXPIRATION
28/9/2023	27/9/2028

DATE OF FIELD EVALUATION
10-13, 17-20 March 2025
DATE OF REPORT FINALIZATION
21 August 2025

TYPE OF EVALUATION	
<input type="checkbox"/> Main Evaluation	<input type="checkbox"/> 1st Surveillance
<input type="checkbox"/> Re-Evaluation	<input checked="" type="checkbox"/> 2nd Surveillance
<input type="checkbox"/> Transfer	<input type="checkbox"/> 3rd Surveillance
<input type="checkbox"/> Expansion of Scope	<input type="checkbox"/> 4th Surveillance
	<input type="checkbox"/> Other Surveillance: #

This document contains the conformity tables and certificate tracking information that together with the Digital Audit Report constitute a complete FSC Forest Management Audit Report.

Table of Contents

Appendix 1 – Staff and Stakeholders Consulted.....	3
Appendix 2 – Additional Evaluation Techniques Employed	3
Appendix 3 – Required Tracking	3
Appendix 4 – Forest Management Conformance Table	5
Appendix 5 – Chain of Custody Indicators for FMEs Conformance Table	5
Appendix 6 – Trademark Standard Conformance Table	6
Appendix 7 – Group Management Program.....	11
Appendix 8 – Exclusion/Excision Policy Checklist	11
Appendix 9 – Schedule for Next Audit (Optional).....	11
Appendix 10 – Additional Checklists.....	11

Appendix 1 – Staff and Stakeholders Consulted

List of FME Staff Consulted

To protect privacy, only FME staff who have expressly provided written permission are listed. **These records are retained by SCS and subject to FSC or ASI examination.**

Name	Title	Contact Information	Consultation method
See attached Permission forms (2 pages)			

List of other Stakeholders Consulted*

To protect privacy, only stakeholders who have expressly provided written permission are listed. **These records are retained by SCS and subject to FSC or ASI examination.**

Name	Title	Contact Information	Consultation method	Requests Stakeholder Notification? (Y/N)
Diane Bradshaw	Iwi representative	d.bradshaw@gns.cri.nz	e-mail and phone call	N
Clarke Koopu	Raukuara Pae Maunga – operations Manager	ckoopu@doc.govt.nz	e-mail and Teams call	N
See attached Permissions form for contractors/ crew interviewed (1 page)				

* Note: SCS may maintain additional records of stakeholder consultation activities (e.g., email notifications) in its recordkeeping system. Anonymous stakeholders may have provided comments as a part of stakeholder outreach activities, such communications are retained by SCS subject to FSC and ASI examination.

Appendix 2 – Additional Evaluation Techniques Employed

- None.
- Additional techniques employed (*describe*):

Appendix 3 – Required Tracking

History of Findings for Certificate Period

FM Principle	Cert/Re-cert Evaluation (2023)	1 st Annual Evaluation (2024)	2 nd Annual Evaluation (2025)	3 rd Annual Evaluation (year)	4 th Annual Evaluation (year)

No findings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
P1					
P2			2.4.3 (minor)		
P3					
P4					
P5					
P6	6.2.1(obs) 6.3.7 (minor) 6.4.5 (Obs) 6.7.1 & 6.7.6 (Obs)		6.4.1 (minor) 6.5.8 (obs) 6.3.1 (obs)		
P7	7.6.2 (minor)		7.6.2 (obs)		
P8		8.2.1 & 8.2.2 (Obs)			
P9		9.4.3 (minor) & 9.4.4 (Obs)			
P10			10.7.3 (obs)		
COC for FM	1.3 and 1.4 (minor)				
Trademark					
Group					
Other					

Progressive HCVF Assessments

FME does not use partial or progressive HCVF assessments.*

**Note: In the case the FME is not operating in the entire management unit, it is permissible to only complete an HCVF assessment for the portion of the unit in which they are operating under special conditions. In such cases, the HCVF assessment must be extended if new areas are entered without an existing, appropriate HCVF assessment having been completed. An example includes a large forest concession where harvesting is initially limited to a smaller geographic scope.*

Partial or progressive HCV must be noted in SCS tracking system for monitoring. Describe below the FME monitoring plan to ensure additional HCVF assessments are completed as necessary:

Special Instructions or Scoping Notes for Next Regularly Scheduled Annual Audit

<input checked="" type="checkbox"/>	Not applicable; no significant issues identified that may impact the next audit.
Some issues were identified during this audit that the next audit team could consider in the next audit, such as:	
<input type="checkbox"/>	Scope of certificate:
<input type="checkbox"/>	Audit sampling:

<input type="checkbox"/>	Audit time:
<input type="checkbox"/>	Audit season:
<input type="checkbox"/>	Travel time between sites or FMUs:
<input type="checkbox"/>	Audit frequency:
<input type="checkbox"/>	Suggested audit team competency for next audit:
<input type="checkbox"/>	Suggested requirements to include during the next audit:
<input type="checkbox"/>	Suggested issues investigate during the next audit:
<input type="checkbox"/>	Suggested sites for inspection:
<input type="checkbox"/>	Stakeholders to be consulted:
<input type="checkbox"/>	Other(s) – please describe:

**Note: information audit team leaders wish to remain confidential may be communicated directly to SCS.*

Requirements Reviewed in Annual Evaluation

Evaluation Year	Requirements Reviewed (FSC P&C Reviewed, FM/COC Indicators, Trademark Indicators, Group Standard Indicators, etc.)
2023	All – (Re)certification Evaluation
2024	Principle 1 and Principle 5 and 1.6; 2.3; 4.4; 4.5; 6.4; 6.6; 7.6, 9.4, 10.2; 10.3; 10.6; 10.7 and 10.12
2025	Principle 2, Principle 3 and Principle 6, Compulsory Criteria: 1.6; 2.3; 4.4; 4.5; 6.4; 6.6; 6.9; 6.10; 7.6; 9.4; 10.2; 10.3; 10.6; 10.7 and 10.12
20XX	
20XX	

Appendix 4 – Forest Management Conformance Table

C= Conformance with Criterion or Indicator
NC= Nonconformance with Criterion or Indicator
NA = Not Applicable
NE = Not Evaluated

See DAR

Appendix 5 – Chain of Custody Indicators for FMEs Conformance Table

The Chain of Custody conformance table should be completed when evaluating Principle 8 of the FSC Standard for those countries and regions still using version 4 of the FSC Principles and Criteria (as of January 2025, this is only the United States). Note that when applicable, it should be evaluated at the first surveillance audit of each certification cycle.

Chain of Custody indicators are not eligible for this country/region, or were not evaluated during this evaluation.

Insert FM/COC CONFORMANCE TABLE if evaluated

Appendix 6 – Trademark Standard Conformance Table

Anexo de marcas registradas de SCS para OMF: marcas registradas de FSC, FSC-STD-50-001 V2-0

NA, does not use/intend to use FSC trademarks for any purposes; or
 NA, is fully integrated and all trademark uses are treated under the COC Annex to this report that includes a full review of FSC-STD-40-004 and FSC-STD-50-001.
(finished with this section; all TM checklists may be deleted)
Note: in case of requests for interpretation, the English version of these indicators shall be preferred.

Forest Management Trademark CARs Chart/ Certificate Type	Audit Type – Grade
<i>Since trademark use is a minor aspect of FM audits, most nonconformances result in Minor CARs outside of the exceptions noted in this table. In the cases of integrated operations (i.e., operations with both FM/COC and COC certificates), timelines assigned for Minor CARs may be aligned with nonconformities of the COC certificate (e.g., Minor CAR with deadline of 3 or 6 months). SCS national offices/affiliates may take local considerations (e.g., legal framework) into account to assign CAR grades.</i>	
FM/COC or FM (Single/Multiple FMU)	Main Evaluation – Major if detected prior to certificate issuance
	Re-Evaluation/ Surveillance – Major if certificate is not valid (e.g., suspended)
CW/FM (Single/Multiple/Group)	All – Major per 3.1 of SCS COC Indicators for FMEs
Annex A – TM Management System (TMMS)	All – Major if TMMS not approved by SCS or SCS affiliate
Annex B – Group	Main Evaluation – Major
	Re-Evaluation/ Surveillance – Major or Minor depending on the scale/scope

1. General Requirements for Use of the FSC Trademarks (FSC “checkmark-and-tree” logo, initials “FSC,” and/or name “Forest Stewardship Council”)		
Trademark uses reviewed:		
Trademark Application (on-product/promotional)	Case Approval #, or Email (include approver name & date), or other appropriate documentation	Are all elements correct? (e.g., trademark symbol, color scheme, size, etc.) If not, describe in

		Nonconformities below.	
Website	462274	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
Public Summary	410970	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
		Y <input type="checkbox"/> N <input type="checkbox"/>	
		Y <input type="checkbox"/> N <input type="checkbox"/>	
<input checked="" type="checkbox"/> All known uses reviewed. <input type="checkbox"/> Sample reviewed. Rationale that sample choice is sufficient to confirm requirements are met: <input type="checkbox"/> Trademark uses detected include those grandfathered in under prior FSC trademark rules (e.g., FSC-TMK-50-201). Place the initials "GF" by the specific Trademark Applications above. <i>Note: This only applies to printed items or physical promotional materials (e.g., hats, load tickets) in stock. New printings, items, and websites must be updated per FSC-STD-50-001 requirements. If the organization only has GF uses and no new uses, the rest of this checklist is NA.</i>			
1.2 Trademark License Agreement and valid certificate In order to use these FSC trademarks, the FME shall have a valid FSC trademark license agreement and hold a valid certificate. <i>Note: Consultations for certification Organizations applying for forest management certification or conducting activities related to the implementation of controlled wood requirements, may refer to FSC by name and initials for stakeholder consultation.</i>			Maintained on file by SCS Main Office/ Mantenidos en los archivos de la sede principal de SCS
Evidence 1.2: Maintained on file by SCS Main Office.			
1.6 Product Group List The products intended to be labeled or promoted as FSC certified have been included in the organization's certified product group list.			<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS
Evidence 1.6: <input checked="" type="checkbox"/> Refer to Product Groups List in Public Summary Report; <input type="checkbox"/> The following nonconformance(s) were detected in Product Groups: ; or <input type="checkbox"/> Refer to OBS related to Product Groups:			
1.3 Trademark License Code The FSC trademark license code assigned by FSC to the organization accompanies any use of the FSC trademarks. It is sufficient to show the code once per product or promotional material.			<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS
1.4 Trademark Symbol The FSC logo and the 'Forests For All Forever' marks shall include the trademark symbol ® in the upper right corner when used on products or materials to be distributed in a country where the relevant trademark is registered.			<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS

<p>For use in a country where the trademark is not yet registered, use of the symbol ™ is recommended. The Trademark Registration List document is available in the FSC trade-mark portal and marketing toolkit.</p> <p>The symbol ® shall also be added to ‘FSC’ and ‘Forest Steward-ship Council’ at the first or most prominent use in any text; one use per material is sufficient (e.g. website or brochure).</p> <p><i>NOTE: The use of the trademark symbol is not required for FSC claims in sales and delivery documents, or for the disclaimer statement specified in requirement 6.2.</i></p>	<p><input type="checkbox"/> NA, one or more of noted exceptions applies/ una o más de las exenciones anotadas aplica</p>
<p>2.1 Restrictions on using FSC trademarks</p> <p>The organization has not used the FSC trademarks in the following ways:</p> <ol style="list-style-type: none"> in a way that could cause confusion, misinterpretation, or loss of credibility to the FSC certification scheme; in a way that implies that FSC endorses, participates in, or is responsible for activities performed by the organization, outside the scope of certification; to promote product quality aspects not covered by FSC certification; in product brand or company names, such as ‘FSC Golden Timber’ or website domain names; in connection with FSC controlled wood or controlled material – they shall not be used for labelling products or in any promotion of sales or sourcing of controlled material or FSC controlled wood; the initials FSC shall only be used to pass on FSC controlled wood claims in sales and de-livery documentation, in conformity with FSC chain of custody requirements. 	<p><input checked="" type="checkbox"/> C</p> <p><input type="checkbox"/> NC</p> <p><input type="checkbox"/> C w/ OBS/ c/ OBS</p>
<p>2.2 Translations</p> <p>The name ‘Forest Stewardship Council’ has not been replaced with a translation. A translation may be included in brackets after the name, for example: Forest Stewardship Council® (translation)</p>	<p><input checked="" type="checkbox"/> C</p> <p><input type="checkbox"/> NC</p> <p><input type="checkbox"/> C w/ OBS/ c/ OBS</p> <p><input type="checkbox"/> NA, no translations/ no hay traducciones</p>
<p>Evidence 1.3, 1.4, 2.1, and 2.2: <input type="checkbox"/> Refer to Trademark uses reviewed above;</p> <p><input type="checkbox"/> The following nonconformance(s) were detected ; or</p> <p><input type="checkbox"/> Refer to OBS:</p>	<p>FSC Certificate with the Licence code is on the website.</p>
<p>Sections 8 and 9 Graphic Rules</p> <p>The organization has only used FSC logos that conform to the standard requirements governing:</p> <ul style="list-style-type: none"> color and font (8.1-8.3); format and size (8.4-8.9); label placement (8.10); and ‘Forests For All Forever’ marks (9.1-9.7). 	<p><input checked="" type="checkbox"/> C</p> <p><input type="checkbox"/> NC</p> <p><input type="checkbox"/> C w/ OBS/ c/ OBS</p>
<p>1.5 Trademark Use Approval</p> <p>The organization has submitted all intended uses of the FSC</p>	<p><input checked="" type="checkbox"/> C</p> <p><input type="checkbox"/> NC</p>

trademarks to SCS for approval. OR The organization has an approved trademark use management system in place. (If the organization has a trademark use management system, complete Annex A.)	<input type="checkbox"/> C w/ OBS/ c/ OBS
4.6 FSC trademarks may be used to identify FSC-certified materials in the chain of custody before the products are finished. It is not necessary to submit such segregation marks for approval. All segregation marks shall be removed before the products go to the final point of sale or are delivered to uncertified organizations.	<input type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input checked="" type="checkbox"/> NA, trademarks no used for segregation marks/ no se usan las marcas registradas en marcas de separación
Evidence Graphic Rules, 1.5, and 4.6: <input checked="" type="checkbox"/> Refer to Trademark uses reviewed above; <input type="checkbox"/> The following nonconformance(s) were detected ; or <input type="checkbox"/> Refer to OBS:	

2. On-Product Use of FSC Trademarks
 NA, no use of on-product trademarks (*on-product checklist may be deleted*)

3. Promotional Use of FSC Trademarks
 NA, no use of promotional trademarks (*promotional checklist may be deleted*)

6.1 Catalogues, Brochures, and Websites When the FSC trademarks have been used in catalogues, brochures, or websites, the following requirements apply: <ul style="list-style-type: none"> • It is sufficient to present the promotional elements only once in catalogues, brochures, websites, etc. • If both FSC-certified and uncertified products are listed then a text such as “Look for our FSC®-certified products” shall be used next to the promotional elements and the FSC-certified products shall be clearly identified. • If some or all of the products are available as FSC certified on request only, this is be clearly stated. 	<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input type="checkbox"/> NA, not using trademarks in catalogues/ brochures/websites/ no se usan marcas en catálogos, folletos y páginas web
6.2 Sales and Delivery Documents When the FSC trademarks are included on sales or delivery document templates that may be used for both FSC and non-FSC products, the following or a similar statement is included: “Only the products that are identified as such on this document are FSC certified”. <i>NOTE: Use of the FSC claim and certificate code on the invoices does not qualify as FSC trademark use.</i>	<input type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input checked="" type="checkbox"/> NA, not using trademarks on templates for FSC & non-FSC products/ no se usan marcas registradas en plantillas para productos FSC y no FSC
6.3 Promotional Items All promotional items (e.g., mugs, pens, T-shirts, caps, banners, vehicles, etc.) have displayed, at minimum, the FSC logo and FSC trademark license code.	<input type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS

	<input checked="" type="checkbox"/> NA, not labeling promotional items/ no se etiquetan artículos promocionales
6.5 Trade Fairs When the FSC trademarks are used for promotion at trade fairs, the organization has: a) clearly marked which products are FSC certified, or b) add a visible disclaimer stating “Ask for our FSC®-certified products” or similar if no FSC-certified products are displayed. <i>NOTE: Use of text to describe the FSC certification of the organization does not require a disclaimer.</i>	<input type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input checked="" type="checkbox"/> NA, not using trademarks at trade fairs/ no se usan marcas registradas en ferias comerciales
Section 6.6 and 6.7 Investment/Financial Claims 6.6 When investment companies or others are making financial claims based on the organization’s FSC certified operations, the organization has taken full responsibility for the use of the FSC trademarks. 6.7 Any such claims have been accompanied by the disclaimer, “FSC is not responsible for and does not endorse any financial claims on returns on investments.”	<input type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input checked="" type="checkbox"/> NA, not making financial claims about FSC status/ no se hacen declaraciones financieras sobre el estado FSC
7.1 and 7.2 Other Forestry Certification Scheme Logos The FSC trademarks have not been used together with the marks of other forest certification schemes in a way which implies equivalence, or in a way which is disadvantageous to the FSC trademarks in terms of size or placement.	<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input type="checkbox"/> NA, not using other scheme logos/ no se usan logotipos de otros esquemas
7.3 Business Cards The FSC trademarks have not used on business cards to promote the organization’s certification. The FSC logo or ‘Forests For All Forever’ marks are not used on business cards for promotion. A text reference to the organization’s FSC certification, with license code, is allowed, for example “We are FSC® certified (FSC® C#####)” or “We sell FSC®-certified products (FSC® C#####)”.	<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS <input type="checkbox"/> NA, approval granted prior to July 1, 2011/ aprobación otorgada antes de 1/jul/2011
7.4 Promotion with CB Logo FSC certified products have not been promoted using only the SCS Kingfisher and/or SCS Global Services logo.	<input checked="" type="checkbox"/> C <input type="checkbox"/> NC <input type="checkbox"/> C w/ OBS/ c/ OBS
Evidence 6.1-6.3, 6.5-6.7, 7.1-7.4: <input checked="" type="checkbox"/> Refer to Trademark uses reviewed above; <input type="checkbox"/> The following nonconformance(s) were detected ; or <input type="checkbox"/> Refer to OBS:	

Annex A: Trademark use management system
 NA, not using a trademark management system (*Annex A checklist may be deleted*)

Annex B, Additional trademark rules for group FM certificate holders

NA, not a group FM certificate or group does not use FSC trademarks (*Annex B checklist may be deleted*)

- N/A, does not use/intend to use FSC trademarks for any purposes (finished with this section); or
- N/A, is fully integrated and all trademark uses are treated under the COC Annex to this report that includes a full review of FSC-STD-40-004 and FSC-STD-50-001.

Appendix 7 – Group Management Program

This is not a group certificate, so this appendix is not applicable.

Group Management Conformance Table

Insert GROUP CONFORMANCE TABLE if applicable

Appendix 8 – Exclusion/Excision Policy Checklist

Excision Policy Checklist must be completed at Main Evaluations and Recertifications, plus any surveillance audits for which there are new exclusions (i.e., partial certification) or excisions.

N/A, Not a main evaluation or recertification audit and there are no new exclusions (i.e., partial certification) or excisions.

Insert Exclusion/Excision Policy Checklist if applicable

Appendix 9 – Schedule for Next Audit (Optional)

Proposed Audit Dates for Next Audit

Proposed Audit Dates	
Rationale for Dates (if applicable)	

Appendix 10 – Additional Checklists

Include here additional checklists which may be applicable to this evaluation for example, Intact Forest Landscapes, and ESRA checklists.

No additional checklists, so this appendix is not applicable.